

**PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE –2019-2020**

	<b>Report</b>	<b>Committee Date</b>	<b>Report Of</b>	<b>Comments</b>
1	Role of the Audit Committee	<b>June</b>	Grant Thornton	Presentation/training
2	Audit Committee update	<b>June</b>	Grant Thornton	Regular item
3	Fee Letter	<b>June</b>	Grant Thornton	
4	RIPA Quarterly Report	<b>June</b>	Assistant Director - Partnerships	
5	Internal Audit Customer Satisfaction Survey	<b>June</b>	Head of Audit & Governance	
6	Internal Audit Annual and Quarterly Update	<b>June</b>	Head of Audit & Governance	
7	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	<b>June</b>	Head of Audit & Governance	
8	Annual Governance Statement and Code of Corporate Governance	<b>June</b>	Head of Audit & Governance	
9	Counter Fraud Update	<b>June</b>	Head of Audit & Governance	
10	Audit & Governance Committee – Self Assessment – for information only	<b>June</b>	Head of Audit & Governance	

1	Audit Committee update	<b>July</b>	Grant Thornton	Regular item
2	Audit Findings Report	<b>July</b>	Grant Thornton	
3	Management Representation Letter	<b>July</b>	Grant Thornton	
4	Annual Statement of Accounts	<b>July</b>	Executive Director Finance	
5	RIPA Quarterly Report	<b>July</b>	Assistant Director - Partnerships	
6	Risk Management Quarterly Update	<b>July</b>	Assistant Director – Finance	
7	Counter and Corruption Fraud Update	<b>July</b>	Head of Audit & Governance	
8	Internal Audit Quarterly Update	<b>July</b>	Head of Audit & Governance	
9	Internal Audit Charter	<b>July</b>	Head of Audit & Governance	
10	Modern Slavery and Human Trafficking Statement	<b>July</b>	Assistant Director - Partnerships	
11	Review of the Constitution and Scheme of Delegation for Officers	<b>July</b>	Head of Audit & Governance	

1	Audit Committee update	<b>October</b>	Grant Thornton	Regular item
2	Annual Audit Letter	<b>October?</b>	Grant Thornton	
3	RIPA Quarterly Update	<b>October</b>	Assistant Director - Partnerships	
4	Internal Audit Quarterly Update	<b>October</b>	Head of Audit & Governance	
5	Risk Management Quarterly Update	<b>October</b>	Assistant Director – Finance	
6	Annual Treasury Outturn	<b>October</b>	Executive Director Finance	
7	Local Government Ombudsman’s Annual Review and Report 2018/19	<b>October</b>	Assistant Director - People	
8	Counter Fraud Update	<b>October</b>	Head of Audit & Governance	
9	Review of Financial Guidance	<b>October</b>	Head of Audit & Governance	
10	Anti-Money Laundering Policy	<b>October</b>	Head of Audit & Governance	
1	Audit Committee update	<b>February</b>	Grant Thornton	Regular item
2	Audit Report on Certification Work	<b>February</b>	Grant Thornton	

3	Audit Plan	<b>February</b>	Grant Thornton	
4	RIPA Quarterly Report	<b>February</b>	Assistant Director – Partnerships	
5	Internal Audit Quarterly Update	<b>February</b>	Head of Audit & Governance	
6	Risk Management Quarterly Update	<b>February</b>	Assistant Director – Finance	
1	Audit Committee update	<b>March</b>	Grant Thornton	Regular item
2	Auditing Standards	<b>March</b>	Grant Thornton	
3	Informing the Audit Risk Assessment	<b>March</b>	Grant Thornton	
4	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	<b>March</b>	Executive Director Finance	
5	Final Accounts – Action Plan	<b>March</b>	Assistant Director of Finance	
6	Risk Based Verification – exempt item	<b>March</b>	Assistant Director of Finance	
8	Internal Audit Charter and Audit Plan	<b>March</b>	Head of Audit & Governance	

9	Audit and Governance Committee Self-Assessment	<b>March</b>	Head of Audit & Governance	
10	Review of Financial Guidance	<b>March</b>	Head of Audit & Governance	
11	Anti-Money Laundering Policy	<b>March</b>	Head of Audit & Governance	

**The Portfolio Holder for Assets and Finance**

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